

Docket No.: PP01573.101



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Substitute for form I449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<i>Complete If Known</i>	
		Application Number	09/914,279
		Filing Date	01/09/02
		First Named Inventor	Derek O'Hagan, et. al.
		Group Art Unit	1615
		Confirmation No.	2374
Examiner Name	Carlos A. Azpuru		
Attorney Docket Number	PP01573.101		
Sheet	1	of	1

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Issue Date MM-DD-YYYY
		Number	Class/Subclass		
CA	1.	5,733,877	514/12	Sato, et al.	03/31/1998

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Applications		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Class/Subclass		

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office <sup>2</sup>	Number	Class/ Subclass			
CA	1.	WO	90/14837	A61K 37/10	Chiron Corporation	12/13/1990	
	2.	WO	99/11241	A61K 9/107	Smithkline Beecham Biologicals S.A.	03/11/1999	
	3.	WO	99/12565	A61K 39/39	Smithkline Beecham Biologicals S.A.	03/18/1999	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>3</sup>
CA	1.	DEREK T. O'HAGAN, et al., "Recent Advances in Vaccine Adjuvants: The Development of MF59 Emulsion and Polymeric Microparticles", <i>Molecular Medicine Today</i> , Elsevier Science Ltd., February 1997, pp. 69-75.	
	2.	A.C. ALLISON et. al., "An Adjuvant Formulation that Selectively Elicits the Formation of Antibodies of Protective Isotypes and of Cell-Mediated Immunity", <i>J. Immunol Methods</i> , Elsevier Science Publishers B.V., 1986, vol. 95, pp. 157-168.	
CA	3.	G. OTT, et al., "Design and Evaluation of a Safe and Potent Adjuvant for Human Vaccines", M.F. Powell, M.J. Newman (Eds), <i>Vaccine Design, the Subunit and Adjuvant Approach</i> , New York, Plenum Press, 1995, pp. 277-296.	

Examiner Signature		Date Considered	11/25/06
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation, if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>5</sup> Applicant is to place a check mark here if English Language Translation is attached.



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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Attorney Docket Number	PP01573.101

Sheet 1 of 1

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		Office <sup>3</sup>	Number	Class/ Subclass			

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

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CA	1	Notice of Opposition to European Patent EP 1156781, 20 pages.	

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